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PATENT

ATTORNEY DOCKET NO. 09531/005001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Nelsestuen  
Serial No.: 09/302,239  
Filed : April 29, 1999  
Title : MODIFIED VITAMIN K-DEPENDENT POLYPEPTIDES

Art Unit: 1646  
Examiner:

*#4*

**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL LETTER

The following correspondence relating to this application is enclosed for filing:

1. Check for \$1,004.00 (filing fee, additional claims, and surcharge);
2. Response to Notice to File Missing Parts of Application (2 pages);
3. Combined Declaration and Power of Attorney (1 page); and
4. Notice to File Missing Parts of Application (1 page).

Please charge any fees, or make any credits, to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 14, 1999

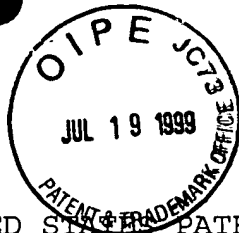
*Mark S. Ellinger*  
Mark S. Ellinger, Ph.D.  
Reg. No. 34,812

Fish & Richardson P.C., P.A.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402

Telephone: 612/335-5070  
Facsimile: 612/288-9696  
23454.M11

Date of Deposit July 15, 1999  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Ann Pommier*  
*Ann Pommier*



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Assistant Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(b) mailed June 7, 1999 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

Payment of the basic filing fee of \$760.00.

Payment of the additional/multiple dependent claims fees of \$114.00.

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63.

Payment of the surcharge of \$130.00 for late filing of the basic filing fee and declaration.

It is understood that this perfects the application and no additional papers or filing fees are required. If there are

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I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

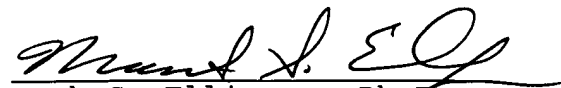
Ann Pommier

Ann Pommier

any other charges, or any credits, please apply them to Deposit  
Account No. 06-1050.

Respectfully submitted,

Date: July 14, 1999

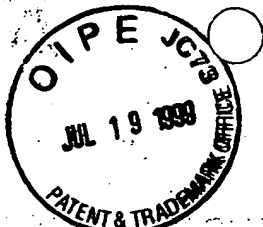


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**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/302,239 04/29/99 NELSESTUEN G 09531/005001

0232/0607

MARK S ELLINGER  
FISH & RICHARDSON  
60 SOUTH SIXTH STREET  
SUITE 3300  
MINNEAPOLIS MN 55402

NOT ASSIGNED

1646

DATE MAILED:

06/07/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1004.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing  
☐ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 36.00 for 2 total claims over 20.  
\$ 78.00 for 1 independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(b), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Patent Examination Division (703) 308-1000

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